

09/508042

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 36th Floor  
Examiner : 1600 Market Street  
Serial No. : 09/508,042 Philadelphia, PA 19103  
Filed : March 3, 2000  
PCT No. : PCT/EP98/05793  
PCT Filed : September 11, 1998  
Inventors : Klaus Pawelzik Docket: 1035-00  
: Klaus-Robert Müller  
: Jens Kohlmorgen  
Title : METHOD FOR DETECTING  
: TIME-DEPENDENT MODES  
: OF DYNAMIC SYSTEMS

Dated: April 28, 2000

**Box PCT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**37 CFR 1.10**  
**EXPRESS MAIL CERTIFICATION**

"Express Mail" mailing label number: EL525797859US

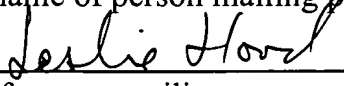
Date of Deposit: April 28, 2000

DESCRIPTION OF CONTENTS: Postcard, \$65.00 Check, \$40.00 Check,  
Transmittal Letter, executed Declaration and  
Power of Attorney For Patent Application,  
executed Statement Claiming Small Entity Status  
- Nonprofit Organization, Notice to File Missing  
Parts of Application, Recordation Form Cover  
Sheet - Patents Only and executed Assignment of  
Rights, Title and Interest in Invention.

I hereby certify that this paper or fee is being deposited with the United States Postal  
Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date  
indicated above and is addressed to Box PCT, Assistant Commissioner for Patents,  
Washington, DC 20231.

Leslie Hood

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

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**TRANSMITTAL LETTER**

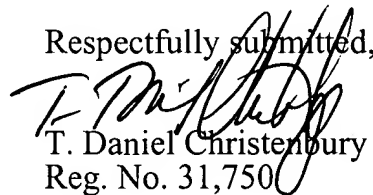
**Box PCT**  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

We submit herewith the executed Declaration and Power of Attorney For Patent Application and the executed Statement Claiming Small Entity Status - Nonprofit Organization, and request that they be formally entered in the file of the case. Also enclosed is a copy of the Notice to File Missing Parts dated April 18, 2000.

We enclose herewith our check in the amount of \$65.00 comprising the surcharge for filing the Combined Declaration later than the date of actual filing of the application in the U.S. Patent & Trademark Office.

Respectfully submitted,

  
T. Daniel Christenbury  
Reg. No. 31,750

TDC:lh  
(215) 563-1810

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SHSL  
IP DEPT.

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/508042	KLAUS	p 1035-00
INTERNATIONAL APPLICATION NO.		
PCT/EP98/05793		
I.A. FILING DATE	PRIORITY DATE	
11 SEP 98	15 SEP 97	
DATE MAILED: 18 APR 2000		

T. DANIEL CHRISTENBURY  
SCHNADER HARRISON SEGAL & LEWIS  
1600 MARKET STREET  
36TH FLOOR  
PHILADELPHIA, PA 19103

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.  
☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 03 MAR 2000 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: Verified Statement Claiming Small Entity Status wasn't received at this office. The large fees were charged.

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M. Alvarado

Telephone: 703-305-6421

**RESPONSE DUE 5-18-00**